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# **REQUEST FOR CONTINUED EXAMINATION (RCE)**

Subsection (b) of 35 U.S.C. §132, effective on May 29, 2000, provides for continued examination of a utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/455,991
Filing Date	December 6, 1999
First Named Inventor	Ohtani, et al.
Group Art Unit	2815
Examiner Name	Jose R. Diaz
Attorney Docket Number	07977-213002

## **This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.**

**NOTE:** 37 C.F.R. §1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. §1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

### **1. Submission required under 37 C.F.R. §1.114**

- a. ☒ Previously submitted
- i. ☒ Consider the amendment(s)/reply under 37 C.F.R. §1.116 previously filed on October 18, 2001  
(Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☐ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

### **2. Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; fee under 37 C.F.R. §1.17(i) required)
- b. ☐ Other \_\_\_\_\_

### **3. Fee** The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. §1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 06-1050
- i. ☒ RCE fee required under 37 C.F.R. §1.17(e)
- ii. ☒ Extension of time fee (37 C.F.R. §§1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Check in the amount of \$ 740 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

04/04/2002 CV0111 00000056 09455991

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740.00 OP

## **SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED**

Name (Print/Type)	James T. Hagler	Registration No. (Attorney/Agent)	40,631
Signature	<i>[Signature]</i>	Date	March 21, 2002

## **CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to **Commissioner for Patents, Box RCE, Washington, DC 20231** or facsimile transmitted to the U.S. Patent and Trademark Office on: March 21, 2002

Name (Print/Type)	Deborah K. Sim	Date	March 21, 2002
Signature	<i>[Signature]</i>		

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ohtani, et al. Art Unit : 2815  
Serial No.: 09/455,991 Examiner : Jose R. Diaz  
Filed : December 6, 1999  
Title : SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING  
THE SAME

Commissioner for Patents  
Washington, D.C. 20231

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Correspondence relating to this application is enclosed.  
The required fees are computed below. Please apply any charges  
not covered, or any credits, to Deposit Account No. 06-1050.

Total						
Claims	17	-	17	=	0	\$0
Independent	2	-	2	=	0	\$0
Request for Continued Examination (1 page)						\$740
TOTAL FEE DUE						\$740

A check for \$740 is attached.

Respectfully submitted,

Date: 3/21/02

James T. Hagler  
Reg. No. 40,631

Fish & Richardson P.C.  
PTO Customer No. 20985  
4350 La Jolla Village Drive, Suite 500  
San Diego, California 92122  
Telephone: (858) 678-5070  
Facsimile: (858) 678-5099  
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CERTIFICATE OF MAILING BY FIRST CLASS MAIL  
I hereby certify under 37 CFR §1.8(a) that this  
correspondence is being deposited with the  
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3/21/2002

Date of Deposit

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Debbrah K. Sim

Typed or Printed Name of Person Signing  
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